

Lectures on Quantum Algorithms  
Quanterall Academy and  
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# Mathematical Basics

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[https://en.wikipedia.org/wiki/Analytic\\_function\\_of\\_a\\_matrix](https://en.wikipedia.org/wiki/Analytic_function_of_a_matrix)

<https://archive.siam.org/books/ot104/OT104HighamChapter1.pdf>

# Complex numbers and functions

$$z = a + ib, \quad z^* = a - ib, \quad a = \operatorname{Re} z, \quad b = \operatorname{Im} z, \quad i^2 = -1,$$
$$z' = a' + ib', \quad zz' = aa' - bb' + i(ab' + a'b), \quad z^2 = a^2 - b^2 + 2iab.$$

Polar representation of complex numbers:

$$z = |z|e^{i\theta} = \sqrt{a^2 + b^2}(\cos(\theta) + i\sin(\theta)), \quad z^* = |z|e^{-i\theta} = \sqrt{a^2 + b^2}(\cos(\theta) - i\sin(\theta)),$$

$$\theta = \arg(z), \quad z' = |z'|e^{i\theta'} = \sqrt{a'^2 + b'^2}(\cos(\theta') + i\sin(\theta'))$$

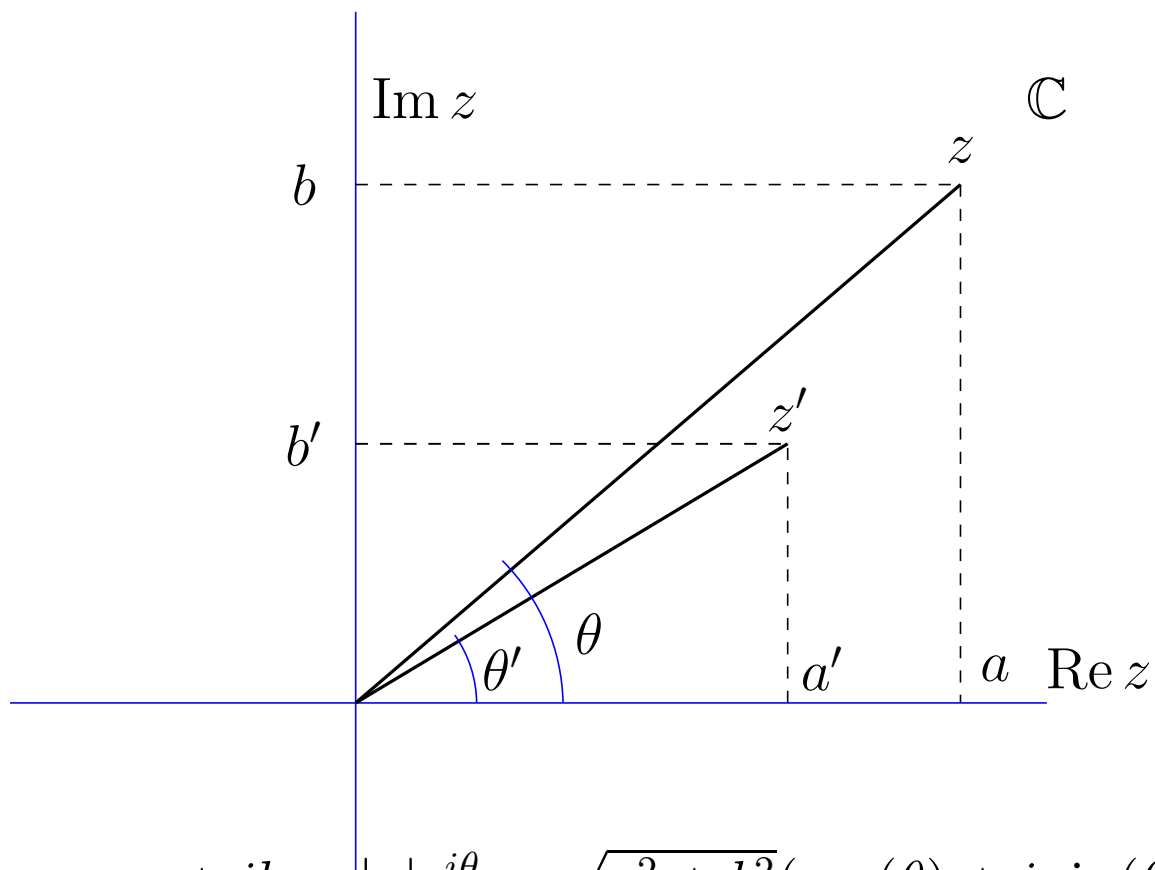
$$zz' = |z||z'|e^{i(\theta+\theta')} = |z||z'|(\cos(\theta + \theta') + i\sin(\theta + \theta')).$$

Euler formula:

$$e^{i\theta} = \cos(\theta) + i\sin(\theta).$$

Euler identity:

$$e^{i\pi} + 1 = 0.$$



$$z = a + ib = |z|e^{i\theta} = \sqrt{a^2 + b^2}(\cos(\theta) + i \sin(\theta)),$$

$$z' = a' + ib' = |z'|e^{i\theta'} = \sqrt{a'^2 + b'^2}(\cos(\theta') + i \sin(\theta'))$$

$$zz' = |z| |z'| e^{i(\theta+\theta')}, \quad e^{i\theta} = \cos(\theta) + i \sin(\theta)$$

**The field of complex numbers is closed!** Namely: Any polynomial equation with complex coefficients  $a_k$ :

$$P_n(z) = a_n z^n + a_{n-1} z^{n-1} + \cdots + a_2 z^2 + a_1 z + a_0 = 0,$$

has  $n$  complex roots  $z_j = z_{j,0} + iz_{j,1}$ , i.e.

$$P_n(z_j) = 0, \quad j = 1, 2, \dots, n.$$

The field of real numbers is not closed. For example, the equation:

$$x^2 + 1 = 0,$$

has NO real roots! Otherwise:  $x_{1,2} = \pm i$ .

### **Functions of complex variables.**

Polynomials  $P_n(z)$  can always be calculated using  $z = a + ib$ . Polynomials  $P_n(1/z)$  can always be calculated using

$$\frac{1}{z} = \frac{z^*}{|z|^2} = \frac{a - ib}{a^2 + b^2} = \frac{e^{-i\theta}}{|z|}.$$

But there are other functions, e.g.

$$\sqrt{z}, \quad \sqrt[n]{z} = z^{1/n}, \quad \ln z, \quad \dots$$

Here it is convenient to use the polar representation of  $z$ :

$$\sqrt{z} = \sqrt{|z|}e^{i\theta/2} = \sqrt{z}(\cos(\theta/2) + i \sin(\theta/2)),$$

$$\sqrt[n]{z} = \sqrt[n]{|z|}e^{i\theta/n} = \sqrt[n]{z}(\cos(\theta/n) + i \sin(\theta/n)),$$

$$\ln(z) = \ln |z| + i \arg(z) = \ln |z| + i\theta.$$

From the right-angle triangles we find:

$$|z| = \sqrt{a^2 + b^2},$$

$$\frac{b}{a} = \frac{\sin(\theta)}{\cos(\theta)} = \tan(\theta), \quad \theta = \arctan \frac{b}{a} = \arctan \frac{\operatorname{Im} z}{\operatorname{Re} z}.$$

**Examples:** Roots of unity:

$$\omega_3 = \sqrt[3]{1} = e^{2\pi i/3} = \cos(2\pi/3) + i \sin(2\pi/3) = \frac{-1 + i\sqrt{3}}{2},$$

$$\omega_8 = \sqrt[4]{1} = e^{2\pi i/8} = \cos(2\pi/8) + i \sin(2\pi/8) = \frac{\sqrt{2} + i\sqrt{2}}{2},$$

$$\omega_5 = \sqrt[5]{1} = e^{2\pi i/5} = \cos(2\pi/5) + i \sin(2\pi/5) = \frac{1}{4} \left( \sqrt{5} - 1 + i\sqrt{10 + 2\sqrt{5}} \right),$$

$$\omega_6 = \sqrt[6]{1} = e^{2\pi i/6} = \cos(\pi/6) + i \sin(\pi/6) = \frac{1 + i\sqrt{3}}{2},$$

$$\omega_{10} = \sqrt[10]{1} = e^{2\pi i/10} = \cos(2\pi/10) + i \sin(2\pi/10) = \frac{1}{4} \left( \sqrt{5} + 1 + i\sqrt{10 - 2\sqrt{5}} \right),$$

Check that:

$$\omega_3^3 = 1, \quad \omega_8^2 = i, \quad \omega_5 \omega_5^* = 1, \quad \omega_6^2 = \omega_3, \quad \omega_6^3 = -1, \quad \omega_{10}^2 = \omega_5$$

# Basic properties of vectors and matrices

Simplest nontrivial: two-component vectors:

$$\vec{x} = \begin{pmatrix} a \\ b \end{pmatrix} = a\vec{e}_1 + b\vec{e}_2, \quad \vec{x}' = \begin{pmatrix} a' \\ b' \end{pmatrix} = a'\vec{e}_1 + b'\vec{e}_2, \quad \vec{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \vec{e}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix},$$

Transposed vectors:

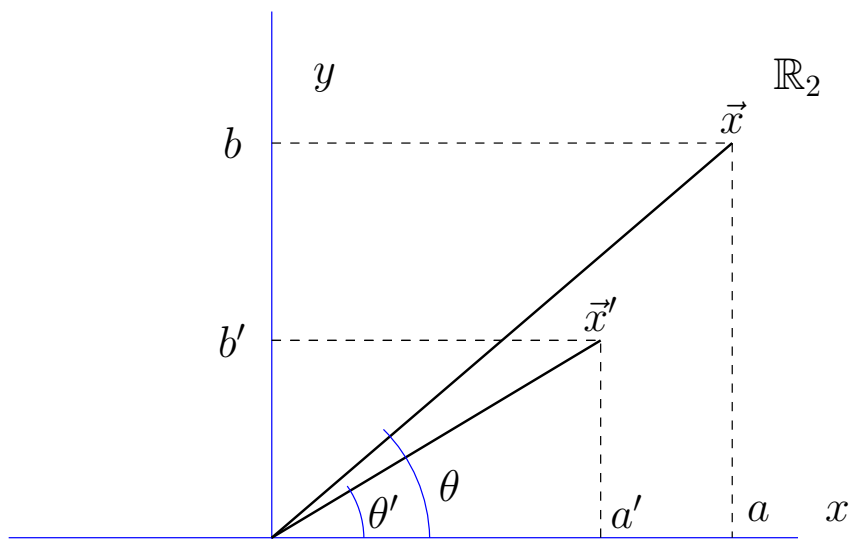
$$\vec{x}^T = (a, b) = a\vec{e}_1^T + b\vec{e}_2^T, \quad \vec{e}_1^T = (1, 0), \quad \vec{e}_2^T = (0, 1).$$

The Euclidean space  $\mathbb{R}_2$  is a metric space:

$$|\vec{x}|^2 = (\vec{x} \cdot \vec{x}) = \vec{x}^T \vec{x} = a^2 + b^2.$$

Polar coordinates:

$$\vec{x} = |\vec{x}| \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}, \quad \vec{x}' = |\vec{x}'| \begin{pmatrix} \cos(\theta') \\ \sin(\theta') \end{pmatrix}, \quad |\vec{x}| = \sqrt{a^2 + b^2}, \quad |\vec{x}'| = \sqrt{a'^2 + b'^2},$$



$$\vec{x} = \begin{pmatrix} a \\ b \end{pmatrix} = \sqrt{a^2 + b^2} \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}, \quad (\vec{x}, \vec{x}) = |\vec{x}|^2 = a^2 + b^2,$$

$$\vec{x}' = \begin{pmatrix} a' \\ b' \end{pmatrix} = \sqrt{a'^2 + b'^2} \begin{pmatrix} \cos(\theta') \\ \sin(\theta') \end{pmatrix}, \quad (\vec{x}', \vec{x}') = |\vec{x}'|^2 = a'^2 + b'^2,$$

$$(\vec{x}, \vec{x}') = aa' + bb' = |\vec{x}| |\vec{x}'| \cos(\theta - \theta'),$$



It allows scalar product:

$$\vec{x} = |\vec{x}| \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}, \quad \vec{x}' = |\vec{x}'| \begin{pmatrix} \cos(\theta') \\ \sin(\theta') \end{pmatrix}, \quad |\vec{x}'| = \sqrt{a'^2 + b'^2}.$$

$$(\vec{x} \cdot \vec{x}') = \vec{x}^T \vec{x}' = (a, b) \begin{pmatrix} a' \\ b' \end{pmatrix} = aa' + bb' = |\vec{x}| |\vec{x}'| \cos(\theta - \theta').$$

$2 \times 2$  matrices:

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}, \quad B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}.$$

$$A + B = \begin{pmatrix} a_{11} + b_{11} & a_{12} + b_{12} \\ a_{21} + b_{21} & a_{22} + b_{22} \end{pmatrix}, \quad cA = \begin{pmatrix} ca_{11} & ca_{12} \\ ca_{21} & ca_{22} \end{pmatrix}.$$

Matrix multiplication:

$$AB = C = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix}, \quad c_{jk} = \sum_{m=1}^2 a_{jm} b_{mk}.$$

$$c_{11} = a_{11}b_{11} + a_{12}b_{21}, \quad c_{12} = a_{11}b_{12} + a_{12}b_{22}, \dots$$

Operations on matrices: complex conjugation, transposition, hermitian conjugation:

$$A^* = \begin{pmatrix} a_{11}^* & a_{12}^* \\ a_{21}^* & a_{22}^* \end{pmatrix}, \quad A^T = \begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix}, \quad A^\dagger = (A^*)^T = \begin{pmatrix} a_{11}^* & a_{21}^* \\ a_{12}^* & a_{22}^* \end{pmatrix}.$$

Trace and determinant:

$$\text{tr}(A) = \sum_{m=1}^2 a_{mm}, \quad \det(A) = a_{11}a_{22} - a_{12}a_{21}.$$

**Pauli matrices:**

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \sigma_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{1}_2.$$

Properties:

$$\sigma_k^2 = \sigma_0 = \mathbb{1}_2, \quad \sigma_k \sigma_j = i \epsilon_{kjm} \sigma_m, \quad \{k, j, m\} = \{1, 2, 3\}$$

where  $\epsilon_{jkm}$  is totally antisymmetric tensor, i.e.

$$\epsilon_{123} = 1, \quad \epsilon_{kjm} = -\epsilon_{jkm} = \epsilon_{mkj}.$$

$$\sigma_1\sigma_2 = i\sigma_3, \quad \sigma_2\sigma_3 = i\sigma_1, \quad \sigma_3\sigma_1 = i\sigma_2, \quad \sigma_1\sigma_3 = -i\sigma_2, \quad \sigma_2\sigma_1 = -i\sigma_3, \quad \sigma_3\sigma_2 = -i\sigma_1.$$

A special  $2 \times 2$  matrix:

$$R_\theta = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} = \cos(\theta)\sigma_0 - i\sin(\theta)\sigma_2.$$

$$R_\theta \vec{x}' = |\vec{x}'| \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} \cos(\theta') \\ \sin(\theta') \end{pmatrix} = |\vec{x}'| \begin{pmatrix} \cos(\theta + \theta') \\ \sin(\theta + \theta') \end{pmatrix}$$

i.e.  $R_\theta$  rotates every vector in  $\mathbb{R}_2$  by angle  $\theta$ .

**Pauli matrices and vectors.** Consider:

$$X = x_1\sigma_1 + x_2\sigma_2 + x_3\sigma_3 = \begin{pmatrix} x_3 & x_1 - x_2 \\ x_1 + ix_2 & -x_3 \end{pmatrix},$$

$$X' = x'_1\sigma_1 + x'_2\sigma_2 + x'_3\sigma_3 = \begin{pmatrix} x'_3 & x'_1 - x'_2 \\ x'_1 + ix'_2 & -x'_3 \end{pmatrix},$$

Prove that:

$$R_\theta R_{\theta'} = R_{\theta+\theta'}, \quad R_\theta R_{-\theta} = \mathbb{1}_2, \quad R_{-\theta} = R_\theta^T.$$

$$X^2 = (\vec{x}, \vec{x})\mathbb{1}_2, \quad \text{tr}(XX') = (\vec{x}, \vec{x}').$$

# $n \times n$ matrices: general properties and definitions

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2n} \\ a_{31} & a_{32} & a_{33} & \dots & a_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \dots & a_{nn} \end{pmatrix}, \quad B = \begin{pmatrix} b_{11} & b_{12} & b_{13} & \dots & b_{1n} \\ b_{21} & b_{22} & b_{23} & \dots & b_{2n} \\ b_{31} & b_{32} & b_{33} & \dots & b_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & b_{n3} & \dots & b_{nn} \end{pmatrix},$$

$$A + B = S, \quad s_{km} = a_{km} + b_{km}, \quad (cA)_{km} = ca_{km},$$

$$AB = C, \quad c_{km} = \sum_{p=1}^n a_{kp}b_{pm}, \quad c_{23} = a_{21}b_{13} + a_{22}b_{23} + a_{24}b_{43} + \dots + a_{2n}b_{n3}.$$

Operations: transpositions, complex conjugation, hermitian conjugation:

$$A = (a_{km})_{k,m=1}^n, \quad A^T = (a_{mk})_{k,m=1}^n,$$
$$A^* = (a_{km}^*)_{k,m=1}^n, \quad A^\dagger = (A^T)^* = (a_{mk}^*)_{k,m=1}^n,$$

$$\operatorname{tr} A = \sum_{m=1}^n a_{mm}, \quad \det A = \sum_{k_1, k_2, \dots, k_n} \epsilon_{k_1, k_2, \dots, k_n} a_{1k_1} a_{2k_2} \cdots a_{nk_n},$$

where  $\epsilon_{k_1, k_2, \dots, k_n}$  is  $n$  component antisymmetric tensor, i.e.:

$$\epsilon_{1, 2, \dots, n} = 1, \quad \epsilon_{k_1, k_2, \dots, k_p, k_{p+1}, \dots, k_n} = -\epsilon_{k_1, k_2, \dots, k_{p+1}, k_p, \dots, k_n}.$$

Special kinds of matrices:

$$\text{unit matrix } \mathbb{1}_n = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix}, \quad \text{traceless } \operatorname{tr} A = 0,$$

$$\text{invertible } \det A \neq 0, \quad \text{diagonal } \begin{pmatrix} \alpha_1 & 0 & \dots & 0 \\ 0 & \alpha_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \alpha_n \end{pmatrix},$$

If  $\det A \neq 0$ , then it is invertible, i.e. there exist unique matrix  $A^{-1}$  such that  $A^{-1}A = AA^{-1} = \mathbb{1}_n$ .

The matrix  $A$  is diagonalizable if there exist invertible matrix  $u$  such that

$$u^{-1}Au = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_n).$$

The numbers  $\alpha_n$  are called eigenvalues of  $A$ . In fact:

$$\text{tr } A = \sum_{m=1}^n \alpha_m, \quad \det A = \prod_{m=1}^n \alpha_m.$$

Characteristic polynomial:

$$P_A(z) = \det(A - z\mathbb{1}_n) = \prod_{m=1}^n (z - \alpha_m).$$

Groups of matrices:  $\mathcal{G}$  is a group of  $n \times n$  matrices if:

- i) if  $X$  and  $Y$  are elements of  $\mathcal{G}$  then  $XY \in \mathcal{G}$ ;
- ii)  $\mathbb{1}_n \in \mathcal{G}$  is such that  $\mathbb{1}_n X = X \mathbb{1}_n = X$
- iii) if  $X \in \mathcal{G}$  then  $X^{-1} \in \mathcal{G}$ .

Examples of groups:

$$GL(n): X \in GL(n) \quad \text{iff} \quad \det X \neq 0;$$

$$SL(n): X \in SL(n) \quad \text{iff} \quad \det X = 1;$$

$$SO(n): X \in SO(n) \quad \text{iff} \quad XX^T = \mathbb{1}_n;$$

$$SU(n): X \in SU(n) \quad \text{iff} \quad XX^\dagger = \mathbb{1}_n;$$

## Functions of matrices

**Polynomial functions:** For any polynomial  $P_N(z) = \sum_{m=1}^N p_m z^m$

$$P_N(A) = \sum_{m=1}^N p_m A^m.$$

**Functions of diagonal matrices:**

$$D = \text{diag}(d_1, d_2, \dots, d_n), \quad P_N(D) = \text{diag}(P_N(d_1), P_N(d_2), \dots, P_N(d_n)),$$

$$f(D) = \text{diag}(f(d_1), f(d_2), \dots, f(d_n)), \quad f(z) \in \{z^{1/p}, \exp(z), \ln(z), \frac{z - s_1}{z - s_2}, \dots, \}$$

## Functions of diagonalizable matrices:

- Given diagonalizable matrix  $A$  we first diagonalize it:

$$A = u^{-1} \tilde{A} u, \quad \tilde{A} = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_n),$$

- evaluate  $f(z)$  on  $\tilde{A}$ :

$$f(\tilde{A}) = \text{diag}(f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n)).$$

Note that the eigenvalues  $\alpha_k$  typically are complex-valued. So, evaluating functions like  $f(z) = z^{1/p}$ ,  $f(z) = \exp(z)$ ,  $f(z) = \ln(z)$  etc. we have to use the results from the beginning of this lecture.

- evaluating  $f(A)$ :

$$f(A) = u^{-1} f(\tilde{A}) u.$$



# Examples

Assume that the eigenvalues of  $A$  are parametrized by  $\alpha_k = |\alpha_k|e^{i\theta_k}$ . Below we also used the formulae from the first part of this lecture.

$$A^{-1} = u^{-1} \text{diag} \left( \frac{1}{\alpha_1}, \frac{1}{\alpha_2}, \dots, \frac{1}{\alpha_n} \right) u$$

$$\begin{aligned} \sqrt{A} &= u^{-1} \text{diag} (\sqrt{\alpha_1}, \sqrt{\alpha_2}, \dots, \sqrt{\alpha_n}) u \\ &= u^{-1} \text{diag} \left( \sqrt{|\alpha_1|} e^{i\theta_1/2}, \sqrt{|\alpha_2|} e^{i\theta_2/2}, \dots, \sqrt{|\alpha_n|} e^{i\theta_n/2} \right) u \end{aligned}$$

$$\begin{aligned} A^{-1/2} &= u^{-1} \text{diag} \left( \frac{1}{\sqrt{\alpha_1}}, \frac{1}{\sqrt{\alpha_2}}, \dots, \frac{1}{\sqrt{\alpha_n}} \right) u \\ &= u^{-1} \text{diag} \left( \frac{1}{\sqrt{|\alpha_1|}} e^{-i\theta_1/2}, \frac{1}{\sqrt{|\alpha_2|}} e^{-i\theta_2/2}, \dots, \frac{1}{\sqrt{|\alpha_n|}} e^{-i\theta_n/2} \right) u \end{aligned}$$

$$\begin{aligned} \sqrt[3]{A} &= u^{-1} \text{diag} (\sqrt[3]{\alpha_1}, \sqrt[3]{\alpha_2}, \dots, \sqrt[3]{\alpha_n}) u \\ &= u^{-1} \text{diag} \left( \sqrt[3]{|\alpha_1|} e^{i\theta_1/3}, \sqrt[3]{|\alpha_2|} e^{i\theta_2/3}, \dots, \sqrt[3]{|\alpha_n|} e^{i\theta_n/3} \right) u \end{aligned}$$

$$\exp A = u^{-1} \text{diag} (\exp \alpha_1, \exp \alpha_2, \dots, \exp \alpha_n) u$$

$$\ln A = u^{-1} \text{diag} (\ln(\alpha_1), \ln(\alpha_2), \dots, \ln(\alpha_n)) u$$

$$= u^{-1} \text{diag} (\ln |\alpha_1| + i\theta_1, \ln |\alpha_2| + i\theta_2, \dots, \ln |\alpha_n| + i\theta_n) u$$

Assume that  $A$  is  $4 \times 4$  diagonal matrix with eigenvalues

$$\alpha_1 = 4, \quad \alpha_2 = 5(1 + i), \quad \alpha_3 = 6(1 + i\sqrt{3}), \quad \alpha_4 = -\frac{1}{4}.$$

Calculate  $A^{2/3}$ ,  $A^{-1/3}$ ,  $\ln A$ .

Next Lecture is on

## Tensor products of Pauli matrices

Basis for parametrizing quantum bits:

Tensor product of Pauli matrices:

$$\sigma_k \otimes \sigma_m, \quad k, m = 0, 1, 2, 3;$$

$$\sigma_0 \otimes \sigma_k = \begin{pmatrix} \sigma_k & 0 \\ 0 & \sigma_k \end{pmatrix}, \quad \sigma_1 \otimes \sigma_k = \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix},$$

$$\sigma_2 \otimes \sigma_k = \begin{pmatrix} 0 & -i\sigma_k \\ i\sigma_k & 0 \end{pmatrix}, \quad \sigma_3 \otimes \sigma_k = \begin{pmatrix} \sigma_k & 0 \\ 0 & -\sigma_k \end{pmatrix},$$