

Lectures on Quantum Algorithms  
Quanterall Academy and  
Institute for Advanced Physical Studies  
Sofia, Bulgaria

# Mathematical Basics

Vladimir S. Gerdjikov

Institute of Mathematics and Informatics  
Bulgarian Academy of Sciences, 1113 Sofia, Bulgaria  
Institute for Advanced Physical Studies, 111 Tsarigradsko  
chaussee, Sofia 1784, Bulgaria

[https://en.wikipedia.org/wiki/Analytic\\_function\\_of\\_a\\_matrix](https://en.wikipedia.org/wiki/Analytic_function_of_a_matrix) \\  
<https://archive.siam.org/books/ot104/OT104HighamChapter1.pdf>

**Wolfgang Scherer. Mathematics of Quantum Computing.**  
Chapters 2& 3  
ISBN 978-3-030-12357-4 ISBN 978-3-030-12358-1 (eBook)  
<https://doi.org/10.1007/978-3-030-12358-1>

# Basic notions of quantum mechanics

## Mathematical aspects

We will focus our attention here on operators acting on finite-dimensional spaces  $\mathbb{H}$ .

The set of vectors  $\phi_j \in \mathbb{H}$  is **linearly independent** if for any finite subset

$$\{\phi_1, \phi_2, \dots, \phi_n\}$$

and for  $a_k \in \mathbb{C}$  the relation

$$a_1\phi_1 + a_2\phi_2 + \dots + a_n\phi_n = 0$$

holds only if  $a_1 = a_2 = \dots = a_n = 0$ . The space  $\mathbb{H}$  is **finite dimensional** if  $\mathbb{H}$  contains at most  $n = \dim \mathbb{H} < \infty$  linearly independent vectors. Otherwise  $\mathbb{H}$  is infinite-dimensional.

The set of vectors  $\phi_j \in \mathbb{H}$  is said to **span**  $\mathbb{H}$  if for any vector  $\phi$  there

exist  $a_j \in \mathbb{C}$  such that:

$$\phi = \sum_j a_j \phi_j, \quad \text{i.e.} \quad \mathbb{H} = \text{Span}\{\phi_j | j = 1, \dots, n\}.$$

**Orthonormal basis  $\{|e_j\rangle\}$  of  $\mathbb{H}$  (bra-vectors):**

$$|e_1\rangle = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad |e_2\rangle = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \quad \dots, \quad |e_n\rangle = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix},$$

**Dual orthonormal basis  $\{\langle e_j|\}$  of  $\mathbb{H}$  (c-ket-vectors):**

$$\langle e_1| = (1, 0, \dots, 0), \quad \langle e_2| = (0, 1, \dots, 0), \quad \dots, \quad \langle e_j| = (0, 0, \dots, 1).$$

Vectors in  $\mathbb{H}$ :

$$\vec{z} = \begin{pmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{pmatrix} = \sum_{j=1}^n z_j |e_j\rangle, \quad \vec{w} = \begin{pmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{pmatrix} = \sum_{j=1}^n w_j |e_j\rangle,$$

where  $z_j, w_j \in \mathbb{C}$  are coordinates of the vectors  $\vec{z}, \vec{w}$ .

**Scalar products of vectors:**

$$\langle \vec{z} | \vec{w} \rangle = \langle \vec{z}^\dagger | \vec{w} \rangle = \sum_{j=1}^n z_j^* w_j.$$

Obviously

$$\langle e_j | e_k \rangle = \delta_{jk} = \begin{cases} 1 & \text{if } k = j, \\ 0 & \text{if } k \neq j. \end{cases}$$

The coordinates of the vectors  $z_j$  and  $w_j$  are uniquely defined via:

$$z_j = \langle e_j | \vec{z} \rangle, \quad w_j = \langle e_j | \vec{w} \rangle.$$

The length of the vectors:

$$\|\vec{z}\|^2 = \langle z | z \rangle = \sum_{j=1}^n |z_j|^2, \quad \|\vec{w}\|^2 = \langle w | w \rangle = \sum_{j=1}^n |w_j|^2.$$

$$\langle \vec{z} + \vec{w} | \vec{z} + \vec{w} \rangle = \|\vec{z}\|^2 + \|\vec{w}\|^2 + \langle \vec{z} | \vec{w} \rangle + \langle \vec{w} | \vec{z} \rangle.$$

Let us introduce two sets of indices  $I = \{i_1, i_2, \dots, i_k\}$  and  $J = \{j_1, j_2, \dots, j_m\}$  with  $k + m \leq n$  and such that  $I \cap J \equiv \emptyset$ . Let us also introduce:

$$|\vec{z}\rangle = \sum_{j \in I} z_j |e_j\rangle, \quad |\vec{w}\rangle = \sum_{k \in K} w_k |e_k\rangle.$$

Then we have:

$$\langle \vec{z} | \vec{w} \rangle = 0, \quad \|\vec{z}\|^2 = \sum_{j \in I} |z_j|^2, \quad \|\vec{w}\|^2 = \sum_{j \in K} |w_j|^2,$$

and therefore we obtain Pithagoras theorem:

$$\langle \vec{z} + \vec{w} | \vec{z} + \vec{w} \rangle = \|\vec{z}\|^2 + \|\vec{w}\|^2.$$

Linear maps on  $\mathbb{H}$ :

$$|\psi\rangle = \sum_j |e_j\rangle \langle e_j | \psi \rangle$$

Matrices can be written as:

$$A = \sum_{jk} |e_j\rangle \langle e_j| A e_k \rangle \langle e_k| = \sum_{jk} |e_j\rangle A_{jk} \langle e_k|.$$

Thus the linear mappings on  $\mathbb{H}$  are provided by matrices. They can also be viewed as linear operators on  $\mathbb{H}$ .

We introduce hermitian conjugation on the operator  $A$ :

$$(A^\dagger)_{jk} = ((A^T)^*)_{jk} = A_{kj}^*.$$

If

$$A^\dagger = A \quad A \text{ is self-adjoint or hermitian} \quad \text{Then} \quad A_{jk} = A_{kj}^*$$

Then

$$(cA)^\dagger = c^* A^\dagger$$

The operator  $U$  is unitary, if:

$$\langle U\psi | U\phi \rangle = \langle \psi | \phi \rangle \quad \forall |\psi\rangle, |\phi\rangle \in \mathbb{H}.$$

This means that

$$UU^\dagger = U^\dagger U = \sum_j |e_j\rangle\langle e_j| = \mathbb{1},$$

where  $\mathbb{1}$  is the identity operator on  $\mathbb{H}$ .

**Eigenvectors of operators:** The vector  $|\psi\rangle$  is an eigenvector of  $A$  with eigenvalue  $\lambda \in \mathbb{C}$  if:

$$A|\psi\rangle = \lambda|\psi\rangle.$$

All vectors  $|\psi\rangle$  span an eigensubspace  $\text{Eig}(A, \lambda)$  of the operator  $A$ . The eigenvalue  $\lambda$  is non-degenerate if  $\dim \text{Eig}(A, \lambda) = 1$ ; If  $\dim \text{Eig}(A, \lambda) > 1$  the eigenvalue  $\lambda$  is degenerate.

The set of all eigenvalues  $\lambda_k$  of  $A$  is called the spectrum of  $A$ . If  $\lambda$  is an eigenvalue, then  $(A - \lambda\mathbb{1})^{-1}$  does not exist.

$$\text{If } A|\psi\rangle = \lambda|\psi\rangle \quad \text{then} \quad \langle\psi|A^\dagger = \lambda^*\langle\psi|.$$

Prove that the eigenvalues of self-adjoint operators are real.  
Prove that the eigenvalues of unitary operators have absolute value 1.

**Self-adjoint operators are diagonalizable:** Let

$$A|\psi_j\rangle = \lambda_j|\psi_j\rangle, \quad \text{then} \quad \langle\psi_j|A = \lambda_j\langle\psi_j|,$$

and

$$A = \sum_{j=1}^n \lambda_j |\psi_j\rangle\langle\psi_j|,$$

so if we choose as a basis the set of eigenvectors of  $A$  it becomes diagonal  $\text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ .

**Projectors.** The operator  $P$  is called a projector if  $P^2 = P$ . If in addition  $P = P^\dagger$  then  $P$  is an orthogonal projector.

**Examples:**

$$P_1 = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix}, \quad P_2 = \begin{pmatrix} 0 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix}, \quad P_1 + P_2 = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix}.$$

$$P_j = \frac{|\psi_j\rangle\langle\psi_j|}{\langle\psi_j|\psi_j\rangle}.$$



## Properties of projectors:

$$P_j|\vec{z}\rangle = \frac{\langle\psi_j|\vec{z}\rangle}{\langle\psi_j|\psi_j\rangle}|\psi_j\rangle, \quad \langle\vec{w}|P_j = \langle\psi_j|\frac{\langle\vec{w}|\psi_j\rangle}{\langle\psi_j|\psi_j\rangle},$$

Namely acting on any vector  $|\vec{z}\rangle$  the projector produces vector proportional to  $|\psi_j\rangle$ .

$$A = \sum_{j=1}^n \lambda_j P_j.$$

For each orthogonal projector there is a set of orthonormal vectors  $|\psi_j\rangle$  such that:

$$P = \sum_j |\psi_j\rangle\langle\psi_j| \quad \text{iff} \quad \langle\psi_j|\psi_j\rangle = 1.$$

From  $P^\dagger = P = P^2$  deduce the eigenvalues of  $P$ .

A self-adjoint operator is called positive, if

$$\langle\psi|A|\psi\rangle \geq 0 \quad \text{for all} \quad |\psi\rangle \in \mathbb{H}.$$

We can introduce ordering of the operators and say, that  $A > B$  if  $A - B > 0$ .

Another important operation between the operators is the commutator:

$$[A, B] = AB - BA.$$

If  $[A, B] = 0$  we say that the operators commute.

**Two commuting operators have the same set of eigenfunctions! This means, that they can be simultaneously diagonalized.** However they may have different sets of eigenvalues, e.g.

$$A \Rightarrow \{\lambda_1, \lambda_2, \dots, \lambda_n\}, \quad B \Rightarrow \{\mu_1, \mu_2, \dots, \mu_n\},$$

Check that any two diagonal matrices commute.

Properties of the hermitian conjugation:

$$(AB)^\dagger = B^\dagger A^\dagger.$$

Indeed,

$$(A.B)^\dagger = ((A.B)^T)^* = (B^T A^T)^* = (B^T)^*(A^T)^* = B^\dagger A^\dagger$$

Show that

$$\text{tr } A = \sum_k A_{kk} = \sum_k \langle e_k | A | e_k \rangle$$

does not depend on the choice of the orthonormal basis.

Show also that

$$\text{tr } (AB) = \text{tr } (BA).$$

**Example:** Let  $\mathbb{H} \simeq \mathbb{C}^2$  – **two-dimensional Hilbert space**. We introduce an orthonormal basis by:

$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

The dual orthonormal basis is:

$$\langle 0| = (1, 0), \quad \langle 1| = (0, 1).$$

We introduce the operators  $|x\rangle\langle y|$  where  $x$  and  $y$  take values 0 or 1. This gives:

$$|0\rangle\langle 0| = \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1, 0) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad |0\rangle\langle 1| = \begin{pmatrix} 1 \\ 0 \end{pmatrix} (0, 1) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix},$$

$$|1\rangle\langle 0| = \begin{pmatrix} 0 \\ 1 \end{pmatrix} (1, 0) = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad |1\rangle\langle 1| = \begin{pmatrix} 0 \\ 1 \end{pmatrix} (0, 1) = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix},$$

Prove that taking

$$|\phi\rangle = a|0\rangle + b|1\rangle = \begin{pmatrix} a \\ b \end{pmatrix}, \quad |\phi\rangle = c|0\rangle + d|1\rangle = \begin{pmatrix} c \\ d \end{pmatrix},$$

we obtain a general operator in  $\mathbb{C}^2$

$$|\psi\rangle\langle\phi| = (a|0\rangle + b|1\rangle)(c^*\langle 0| + d^*\langle 1|) = \begin{pmatrix} ac^* & ad^* \\ bc^* & bd^* \end{pmatrix}.$$

## Pauli matrices and their tensor products

Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \sigma_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{1}_2.$$

Properties:

$$\sigma_k^2 = \sigma_0 = \mathbb{1}_2, \quad \sigma_k\sigma_j = i\epsilon_{kjm}\sigma_m, \quad \{k, j, m\} = \{1, 2, 3\}$$

where  $\epsilon_{jkm}$  is totally antisymmetric tensor, i.e.

$$\epsilon_{123} = 1, \quad \epsilon_{kjm} = -\epsilon_{jkm} = \epsilon_{mkj}.$$

$$\sigma_1\sigma_2 = i\sigma_3, \quad \sigma_2\sigma_3 = i\sigma_1, \quad \sigma_2\sigma_1 = -i\sigma_3, \quad \sigma_3\sigma_2 = -i\sigma_1.$$

They appear when we need to describe the so-called spinor particles in quantum mechanics. An example of such particle is the electron which has spin  $1/2$ . Pauli discovered that such particles may have only two states: the first one with spin up  $|0\rangle$  and the second one with spin down  $|1\rangle$ . This nicely corresponds to the fact that in computers we use bites which also take two values.

Thus acting on bits we will have operators in  $\mathbb{C}^2$  which are expressed in terms of Pauli matrices.

When we have two or more bites we will need tensor products of Pauli matrices:

$$\sigma_k \otimes \sigma_m, \quad k, m = 0, 1, 2, 3;$$

These are  $4 \times 4$  matrices of the form:

$$\begin{aligned}\sigma_0 \otimes \sigma_k &= \begin{pmatrix} \sigma_k & 0 \\ 0 & \sigma_k \end{pmatrix}, & \sigma_1 \otimes \sigma_k &= \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix}, \\ \sigma_2 \otimes \sigma_k &= \begin{pmatrix} 0 & -i\sigma_k \\ i\sigma_k & 0 \end{pmatrix}, & \sigma_3 \otimes \sigma_k &= \begin{pmatrix} \sigma_k & 0 \\ 0 & -\sigma_k \end{pmatrix},\end{aligned}$$

We can construct also triple tensor products of Pauli matrices

$$\sigma_k \otimes \sigma_m \otimes \sigma_j, \quad k, m, j = 0, 1, 2, 3;$$

They have dimension 8.

Thus analyzing the action of the processors on the bites we will need operators whose matrix dimensions will be powers of 2.